

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C. Keith Attorney Docket No.: IVEN125525
Application No.: 09/802,163 Art Unit: 3692 / Confirmation No.: 1129
Filed: March 8, 2001 Examiner: C. B. Graham
Title: AUTOMATED FIRST LOOK AT MARKET EVENTS

REQUEST FOR ACKNOWLEDGMENT OF INFORMATION DISCLOSURE
STATEMENT SUBMITTED SEPTEMBER 14, 2001

March 9, 2007

TO THE COMMISSIONER FOR PATENTS:

It has come to the attention of applicant's attorney that an Information Disclosure Statement mailed to the U.S. Patent and Trademark Office by prior counsel on September 14, 2001, has not been acknowledged by the Office. The Information Disclosure Statement was scanned into the PAIR Image File Wrapper on September 19, 2001, and the 37 references submitted at that time can be found under the "Display References" tab.

On April 8, 2004, an Office Action was mailed to applicant with a copy of an acknowledged, examiner-initialed IDS. However, that IDS was for a different application submitted by another law firm with a similar application number: 09/802,613

Applicant's attorney therefore requests that an initialed copy of the list of cited references (PTO 1449) for the IDS scanned on September 19, 2001, in 09/802,163 be provided acknowledging receipt and consideration of said Information Disclosure Statement.

Since this IDS was previously received by the Office prior to the initial examination of this patent application, applicant does not believe that a fee under 37 C.F.R. 1.17(p) is required for consideration of the IDS at this time.

Respectfully submitted,

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